

3761
3/15/03
JLM

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date set forth below as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231

Date of Signature

and Deposit:

Jan 2, 2003

PATENT

Keith M. Baxter

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Jayme Edwards

Client Docket No. 01SW076

Group No.: 3761

Serial No.: 09/904,929 ✓

Examiner:

Filed: July 13, 2001 ✓

For: Internet Object Interface for Industrial Controller

Attorney: Keith M. Baxter

RECEIVED

JAN 08 2003

TECHNOLOGY CENTER R3700

INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 CFR 1.97

RECEIVED

Commissioner for Patents
Washington, D.C. 20231

JAN 10 2003

Technology Center 2100

Sir:

The following are submitted in the above-identified application in compliance with
37 CFR 1.97 and 1.98:

- ☒ A list of documents on form PTO-1449 together with copies of each identified document;
- ☒ A translation or a concise explanation of each non English language document is enclosed herewith.

This paper is submitted in accordance with:

- ☒ 37 CFR 1.97(b) [within 3 months of filing or prior to 1st Office Action]
- ☐ 37 CFR 1.97(c) [before Final Office Action or Notice of Allowance]; and
- ☐ The required certification made under the heading "Certification" below;
or

☐ The \$_____ fee specified in 37 CFR §1.17(p) for submission of this Information Disclosure Statement is authorized under the heading "Authorization to Charge Fees" below.

☐ 37 CFR §1.97(d) [before issue fee payment]; and

a) This is a petition for consideration of the subject Information Disclosure Statement. The petition fee \$_____ required by 37 CFR 1.17(i)(1) is authorized under the heading "Authorization to Charge Fees." (Direct this letter to "Attention PETITIONS EXAMINER" and if applicable include batch locator information: e.g. "Allowed Files, Batch _____, Date of Allowance _____"), and

b) The required Certification is stated under the heading "Certification" below.

☒ Certification

☒ Each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or

☐ No item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.

☒ Authorization to Charge Fees

Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account 17-0055. An original and two (2) copies of this document are enclosed.

Respectfully submitted,

By: _____

Keith M. Baxter
Attorney for Applicant
Reg No.: 31,233
Quarles & Brady LLP
411 East Wisconsin Ave.
Milwaukee, WI 53202-4497

Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.



Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet	1	of	2
-------	---	----	---

Complete if Known

Application Number	09/904,929
Filing Date	July 13, 2001
First Named Inventor	Jayne Edwards
Group Art Unit	3761
Examiner Name	
Attorney Docket Number	01SW076

U.S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

[illegible]


Examiner
Signature

Date	
Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:** Assistant Commissioner for Patents, Washington, DC 20231.


 O I P E S T O
 JAN 07 2003
 PATENT & TRADE MARK OFFICE

Complete if Known

Application Number	09/904,929
Filing Date	July 13, 2001
First Named Inventor	Jayme Edwards
Group Art Unit	3761
Examiner Name	
Attorney Docket Number	01SW076

Sheet	2	of	2
-------	---	----	---

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	5	Lumpp et al., "Virtual Java Devices Integration of Fieldbus Based Systems in the Internet", IECON '98, Proceedings of the 24th Annual Conference of the IEEE Industrial Electronics Society, Aug. 31-Sept. 4-1998.	
	6	Don Box et al., "Simple Object Access Protocol (SOAP) 1.1-W3C Note 08 May 2000.	
	7	Database Inspec 'Online!, Institute of Electrical Engieners, Stevenage, GB.	
		RECEIVED	
		JAN 10 2003	
		Technology Center 2100	
		RECEIVED	
		JAN 08 2003	
		TECHNOLOGY CENTER R3700	

5349969

+